

# Non-Malleable Functions and Their Applications

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# Outline

- 1 Backgrounds
- 2 NMFs: Syntax and Definition
- 3 Relations among OW and NM
- 4 Constructions of NMFs
- 5 Applications of NMFs

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# Non-Malleable Cryptography

OWF

Codes

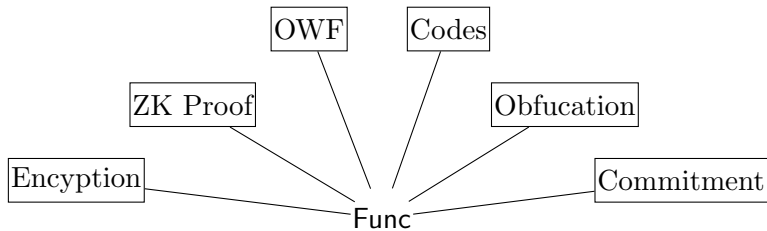
ZK Proof

Obfuscation

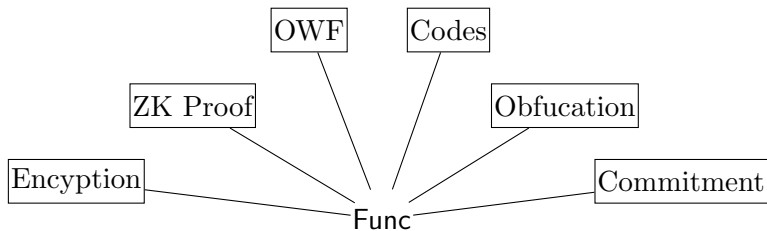
Encryption

Commitment

## Non-Malleable Cryptography



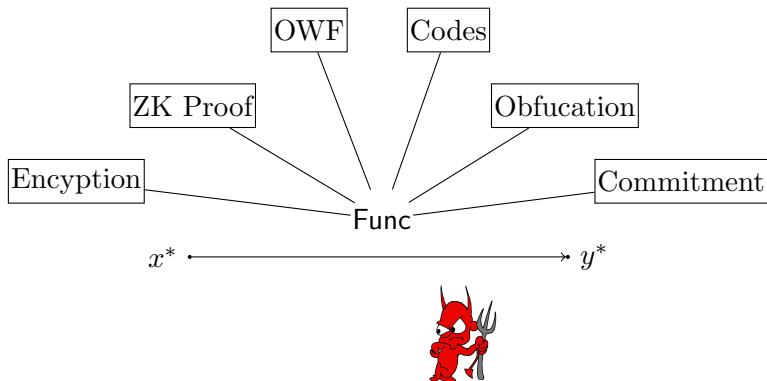
## Non-Malleable Cryptography



### Non-Malleability

ensure some level of independence between inputs and outputs

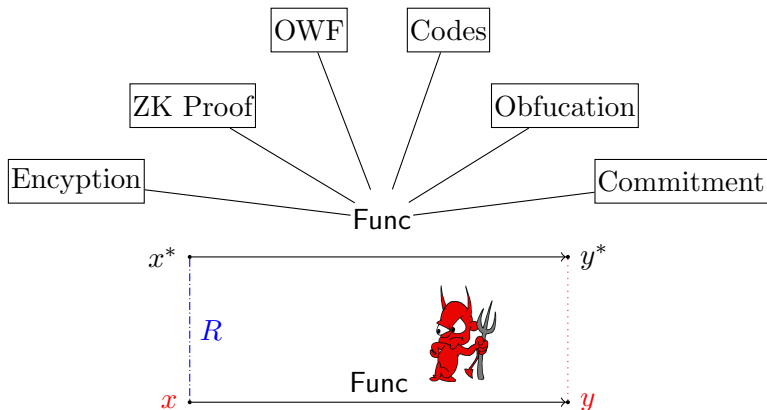
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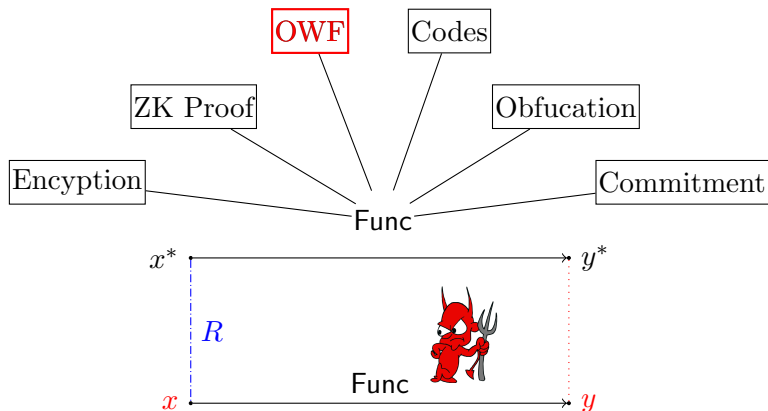


## Non-Malleability

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# Non-Malleable Cryptography



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## Non-Malleable One-Way/Hash Functions (NMOWHF)

[Boldyreva, Cash, Fischlin, and Warinschi, Asiacrypt 2009]

- simulation-based non-malleability
- standard model: POWHF+NIZKPoK
- applications:
  - partially instantiate RO in the Bellare-Rogaway PKE
  - enhance security of client-server cryptographic puzzle

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[Baecher, Fischlin, and Schröder, CT-RSA 2011]

- game-based NM w.r.t. admissible transformation class  $\Phi$
- RO model: Merkle-Damgård transformation is  $\Phi^{\text{xor}}$ -NM
- suffice for the RO-replacement of the Bellare-Rogaway PKE

### The state-of-art about NMFs

- the function is already OW and (possibly) probabilistic
  - blur the relation between OW and NM
  - same input will not lead to the same output
- current game-based notion is not strong enough
- no efficient construction in the standard model
- few applications

## Motivations

### The state-of-art about NMFs

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This work: OW & probabilistic  $F$   $\rightarrow$  deterministic  $F$

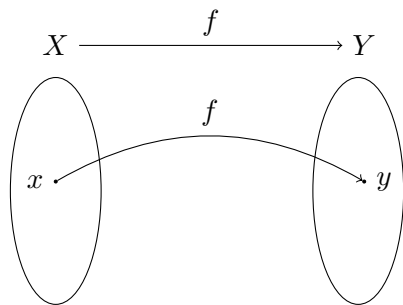
### Goals

- seek a strong yet handy non-malleability notion
- figure out relations between NM and OW
- provide efficient construction without RO
- find new interesting applications

# Outline

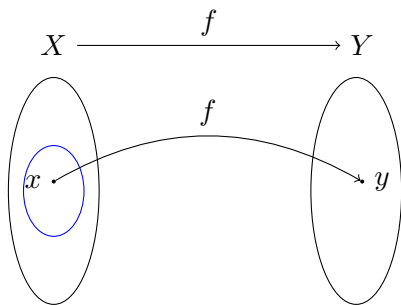
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## Efficient Computable Deterministic Functions



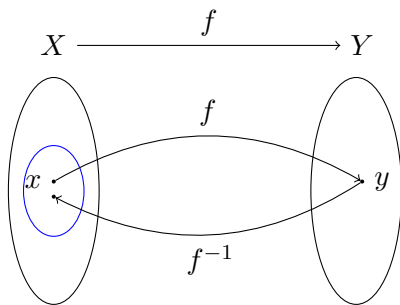


## Efficient Computable Deterministic Functions



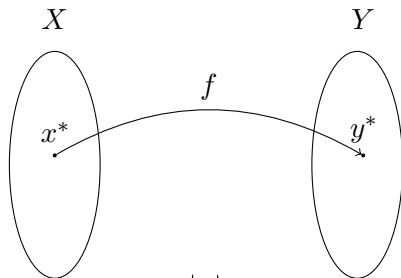
- poly-to-1:  $\forall y \in Y, |f^{-1}(y)| \leq \text{poly}(\lambda)$ .

## Efficient Computable Deterministic Functions

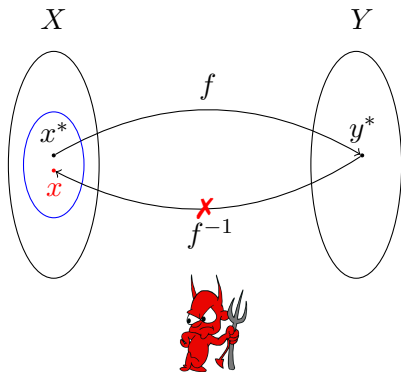


- poly-to-1:  $\forall y \in Y, |f^{-1}(y)| \leq \text{poly}(\lambda)$ .
- trapdoor:  $f^{-1}$  is efficiently computable with a *td*.

## (Adaptive) One-Wayness

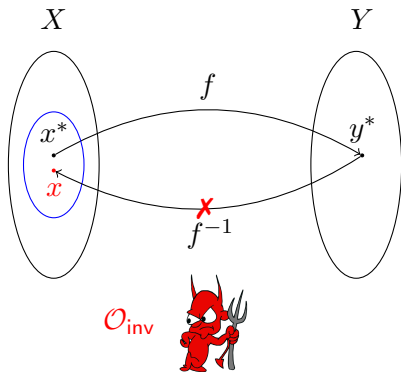


## (Adaptive) One-Wayness



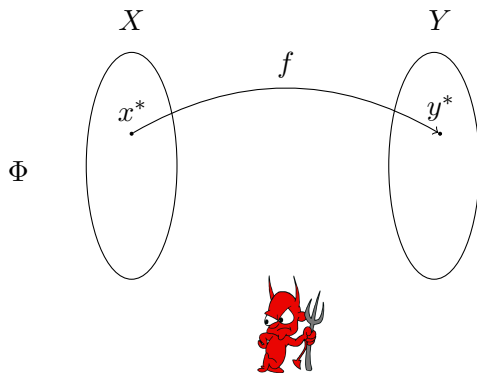
- OW:  $\Pr[\mathcal{A}(f, y^* \leftarrow f(x^*)) \in f^{-1}(y^*)] = \text{negl}(\lambda)$ .

## (Adaptive) One-Wayness

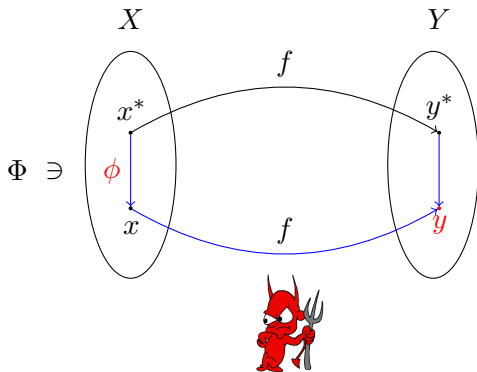


- OW:  $\Pr[\mathcal{A}(f, y^* \leftarrow f(x^*)) \in f^{-1}(y^*)] = \text{negl}(\lambda)$ .
- AOW:  $\Pr[\mathcal{A}^{O_{inv}}(f, y^* \leftarrow f(x^*)) \in f^{-1}(y^*)] = \text{negl}(\lambda)$ .

## (Adaptive) $\Phi$ -Non-Malleability

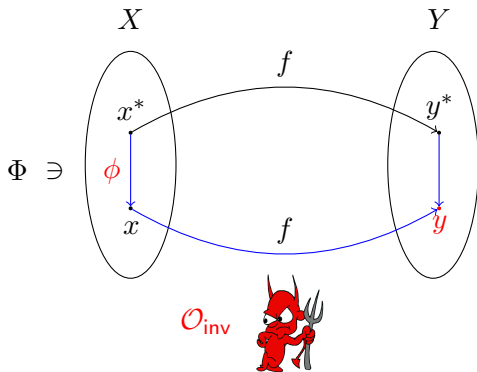


## (Adaptive) $\Phi$ -Non-Malleability



- NM:  $\Pr[\mathcal{A}(f, y^*) = (\phi, y) \text{ s.t. } y = f(\phi(x^*))] = \text{negl}(\lambda)$ .

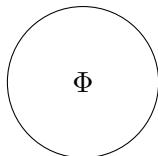
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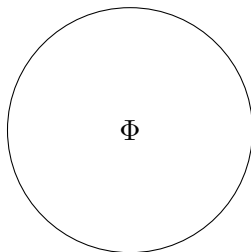
## Our NM Notion v.s. Baecher et al.'s NM Notion



### Common

- both of the NM notions are defined w.r.t.  $\Phi$ .

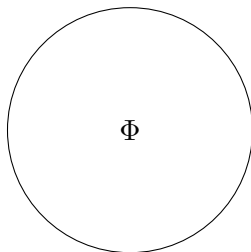
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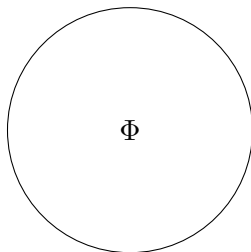
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### Difference

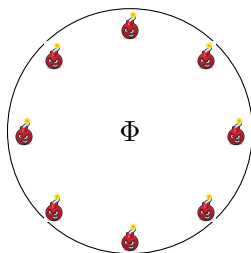
- $\phi \in \Phi$  v.s.  $\phi \in \Phi \wedge \phi(x^*) \neq x^*$
- $\Phi$  cannot contain  $\phi$  having fixed points — exclude many natural transformations — weaken the notion

## Our NM Notion v.s. Baecher et al.'s NM Notion



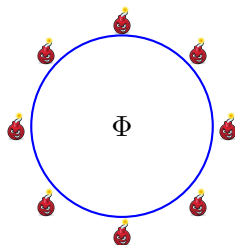
- $\mathcal{A}$ 's power is completely expressed through  $\Phi$  — make  $\Phi$  as large as possible to yield a strong notion

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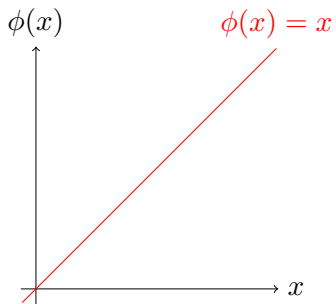
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- $\Phi$  may contain some dangerous  $T \Rightarrow \Phi$ -NM is impossible

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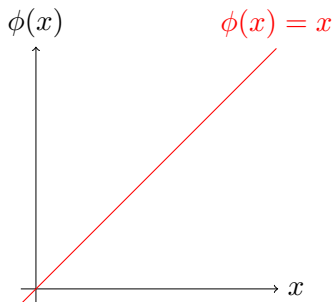
- $\mathcal{A}$ 's power is completely expressed through  $\Phi$  — make  $\Phi$  as large as possible to yield a strong notion
- $\Phi$  may contain some dangerous  $T \Rightarrow \Phi$ -NM is impossible
- find a safe broader of admissible  $\Phi$  to exclude dangerous  $T$

## Dangerous Transformations



identity transformation: id

## Dangerous Transformations

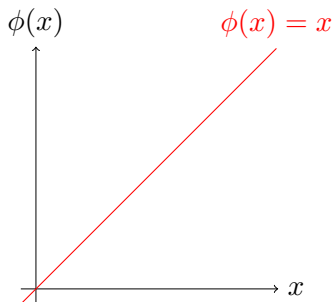


identity transformation: id

$$\mathcal{A} : f(\text{id}(x^*)) = y^*$$

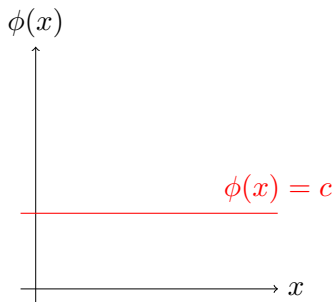


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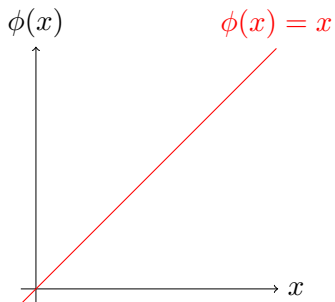
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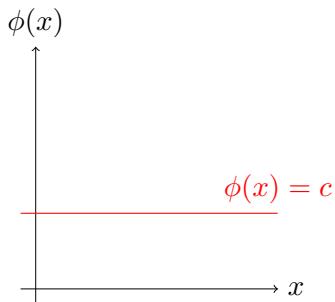
constant transformations:  $\phi_c$

## Dangerous Transformations



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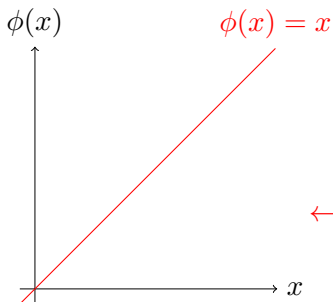
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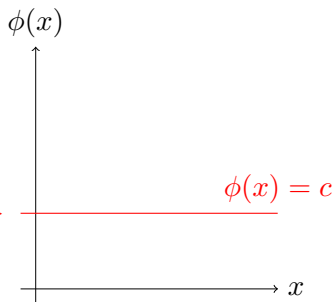
constant transformations:  $\phi_c$

$$\mathcal{A} : f(\phi_c(x^*)) = f(c)$$

## Dangerous Transformations



←regular→



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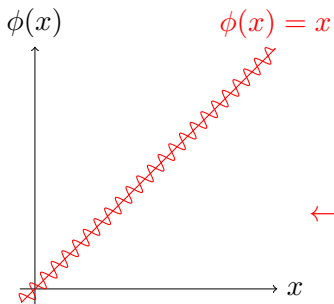
record all information

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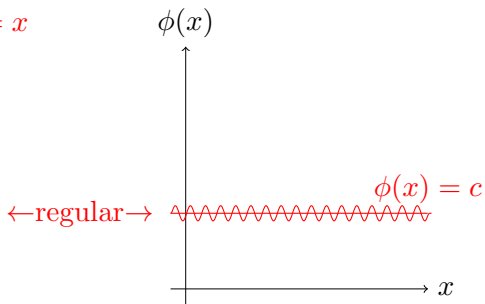
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lose all information

## Dangerous Transformations



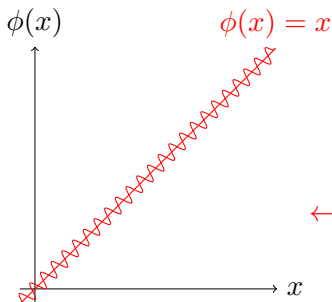
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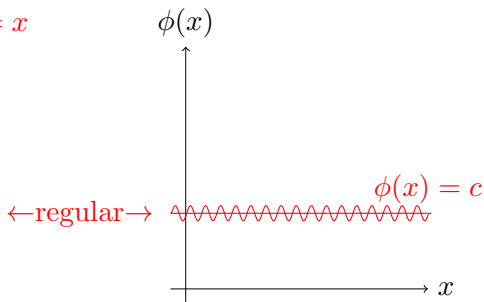
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dangerous: regular transformations + "near" ones

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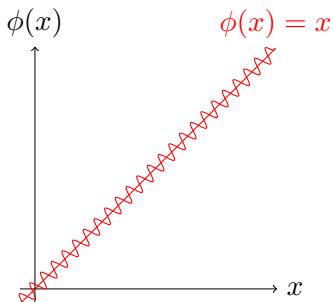
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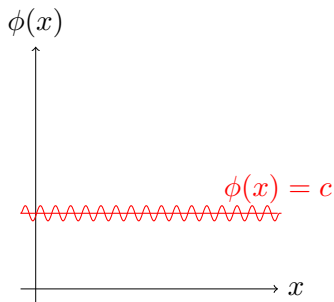
intersection of transformations  $X_{\phi, \phi'} = \{x : \phi(x) = \phi'(x)\}$

distance between transformations:  $\|\phi, \phi'\| = (|X| - |X_{\phi, \phi'}|)/|X|$

## Dangerous Transformations



identity transformation: id



constant transformations:  $\phi_c$

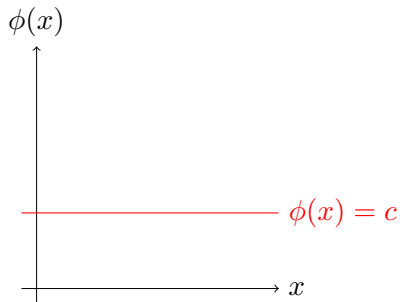
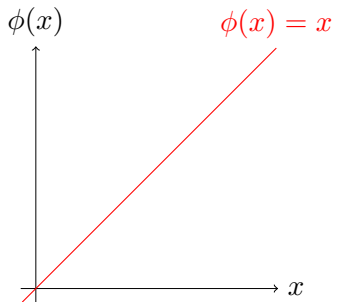
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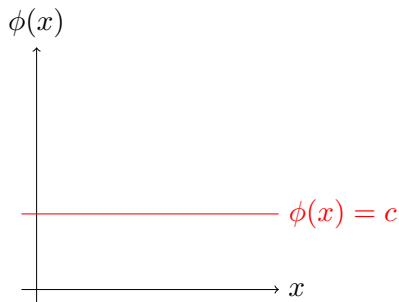
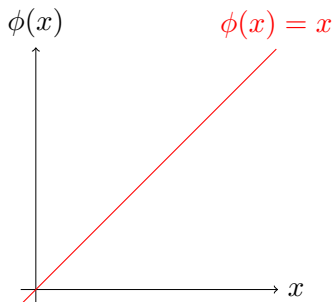
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example of near:  $|X_{\phi, \phi'}|/|X|$  is non-negligible in  $\lambda$

## Admissible Transformation Class



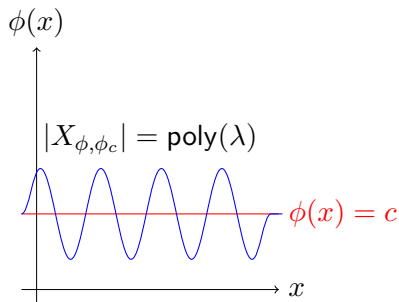
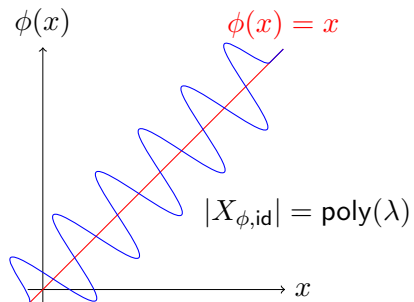
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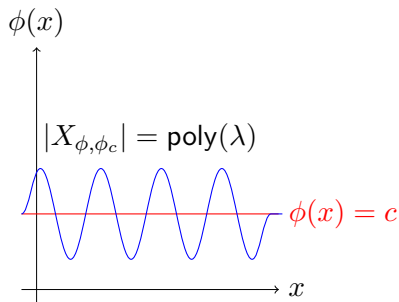
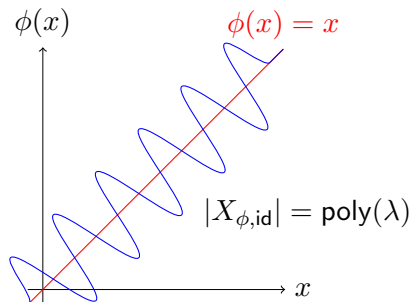


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- Bounded Root Space (BRS)

$\forall \phi \in \Phi$ , RS of  $\phi - \text{id}$  and  $\phi - \phi_c$  are poly bounded.

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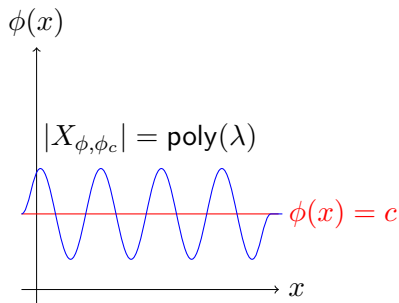
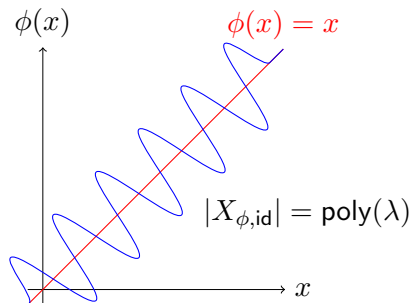


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- Sampleable Root Space (SRS)  
 $\forall \phi \in \Phi$ , RS of  $\phi - \text{id}$  and  $\phi - \phi_c$  are sampleable.

$$\text{SampRS}(\phi') \stackrel{\text{R}}{\leftarrow} \text{RS}_{\phi'}$$

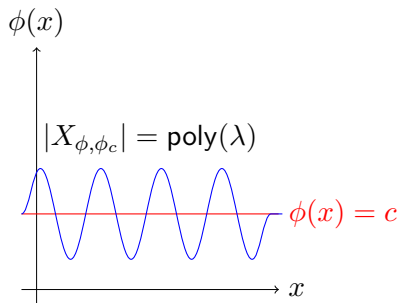
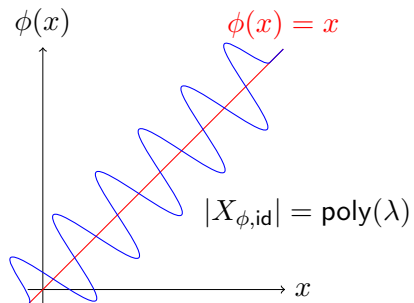
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$\Phi_{\text{brs}}^{\text{srs}}$

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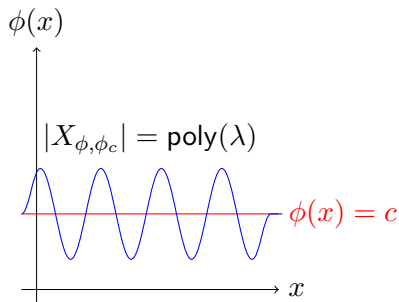
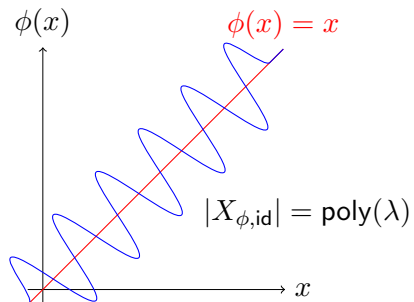


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$\Phi_{\text{brs}}^{\text{srs}}$  covers most algebra-induced classes:

$\Phi^{\text{lin}} \setminus \text{id}$

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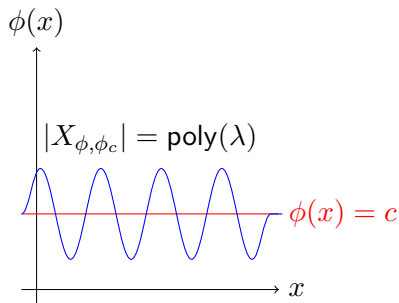
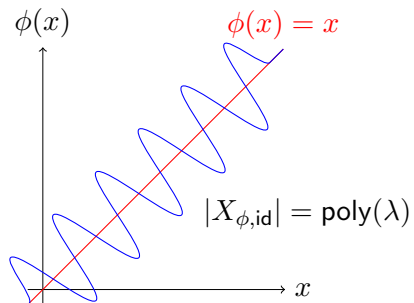


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$\Phi^{\text{poly}_d} \setminus (\text{id} \cup \text{cf}), d = \text{poly}(\lambda)$

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## Relations among One-Wayness and Non-Malleability

NM

?

ANM

?

?

OW  $\begin{array}{c} \xrightarrow{\quad\quad\quad} \\ \xleftarrow{\quad\quad\quad} \end{array}$  /  $\begin{array}{c} \xrightarrow{\quad\quad\quad} \\ \xleftarrow{\quad\quad\quad} \end{array}$  AOW



## Non-Malleability $\Rightarrow$ One-Wayness

Lemma:  $\forall$  achievable  $\Phi$ ,  $\Phi$ -NM  $\Rightarrow$  OW when  $f$  is poly-to-1.

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Proof sketch:  $\mathcal{A}$  breaks OW  $\Rightarrow$   $\mathcal{B}$  breaks  $\Phi$ -NM.

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**Attack:** When  $\mathcal{A}$  outputs  $x$  against OW,  $\mathcal{B}$  picks  $\phi \xleftarrow{R} \Phi$ , outputs  $(\phi, f(\phi(x)))$  against NM.

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- $f$  is poly-to-1: at most  $\text{poly}(\lambda)$  preimages  $x$  s.t.  $f(x) = y^*$  and they are all equally likely in  $\mathcal{A}$ 's view.
- The probability is taken over the choice of  $x^* \stackrel{R}{\leftarrow} X$ .

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The above reduction loses a factor of  $1/\text{poly}(\lambda)$ . When  $f$  is injective, the reduction becomes tight.



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This lemma rigorously confirms the intuition that in common cases NM implies OW.

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- $\phi_a \in \Phi^{\text{xor}} \setminus \text{id} \subset \Phi_{\text{brs}}^{\text{srs}}$ ;
- $y' = f'(x^*||(\beta^* \oplus 1)) = f'(x^*||\beta^* \oplus 0^n||1) = f'(\phi_a(x'^*))$

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more simple and intuitive counterexample: homomorphic OWF

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functions with nice algebraic structure are unlikely to be  
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The proof is similar to the non-adaptive setting.

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Technical hurdle: how to utilize  $\mathcal{A}$ 's power to break AOW

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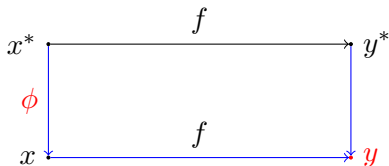
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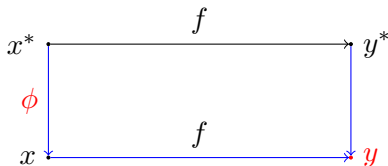
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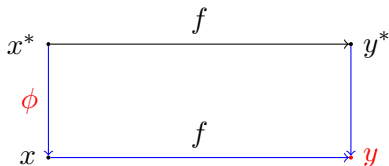
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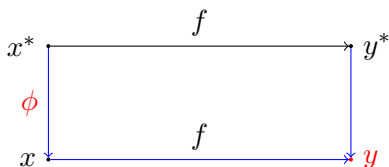


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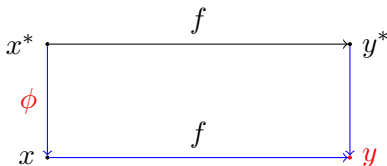
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Injectivity is necessary to guarantee  $\mathcal{B}$  obtains a correct equation.

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- $y \neq y^*$ :  $\mathcal{B} \rightleftharpoons x \leftarrow \mathcal{O}_{\text{inv}}(y)$ , runs  $\text{SampRS}(\phi'')$  to output a random solution of  $\phi''(\alpha) = 0$  where  $\phi''(\alpha) = \phi(\alpha) - x$ .

$$\text{Adv}_{\mathcal{B}}^{\text{anm}} \geq \text{Adv}_{\mathcal{A}}^{\text{aow}} / \text{poly}(\lambda)$$

## Adaptive One-Wayness $\Rightarrow$ Adaptive Non-Malleability

Lemma: AOW  $\Rightarrow \Phi_{\text{brs}}^{\text{srs}}$ -ANM when  $f$  is injective.

Proof sketch:  $\mathcal{A}$  against ANM  $\Rightarrow \mathcal{B}$  against AOW

**Setup:** Given  $f$  and  $y^* \leftarrow f(x^*)$  for  $x^* \stackrel{\text{R}}{\leftarrow} X$ ,  $\mathcal{B} \looparrowright (f, y^*) \looparrowleft \mathcal{A}$ .

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core technique of reduction — equation solving

- ① use  $\mathcal{A}$ 's NM solution to establish equation
- ② exploit BRS and SRS to extract  $x^*$

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Lemma: NM  $\not\Rightarrow$  ANM for any  $\Phi$  when  $f$  is poly-to-1.

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The intuition is deceptive:  $\mathcal{O}_{\text{inv}}$  always behaves benignly

$$\mathcal{O}_{\text{inv}}(y) = \begin{cases} x & \text{if } y \in \text{Img}(f) \\ \perp & \text{if } y \notin \text{Img}(f) \end{cases}$$

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$\mathcal{O}_{\text{inv}}$  could behave wildly (align with the real  $\text{TdInv}$ ).

$$\mathcal{O}_{\text{inv}}(y) = \begin{cases} x & \text{if } y \in \text{Img}(f) \\ td & \text{if } y \notin \text{Img}(f) \end{cases}$$

and this will lead to a separation!

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Claim 2:  $f'$  is not ANM w.r.t. any  $\Phi$ .

$\mathcal{A}$  can obtain  $td$  by querying  $\mathcal{O}_{\text{inv}}^{f'}$  at  $1 \parallel 0^m$ , then computes the right  $x^*$  with probability  $1/\text{poly}(\lambda)$  and breaks NM.

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This separation is similar in spirit to IND-CPA  $\not\Rightarrow$  IND-CCA1 in PKE setting.



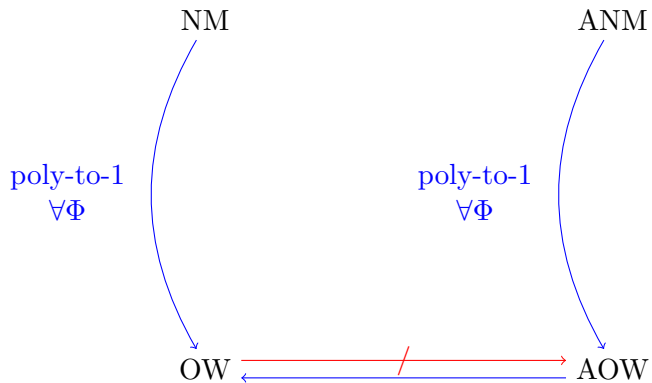
## A Short Summary

NM

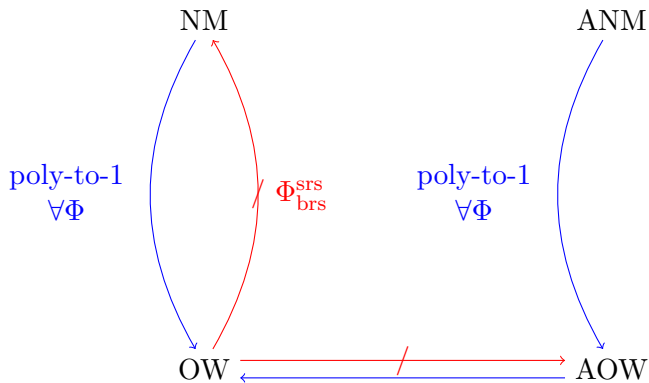
ANM

OW  AOW

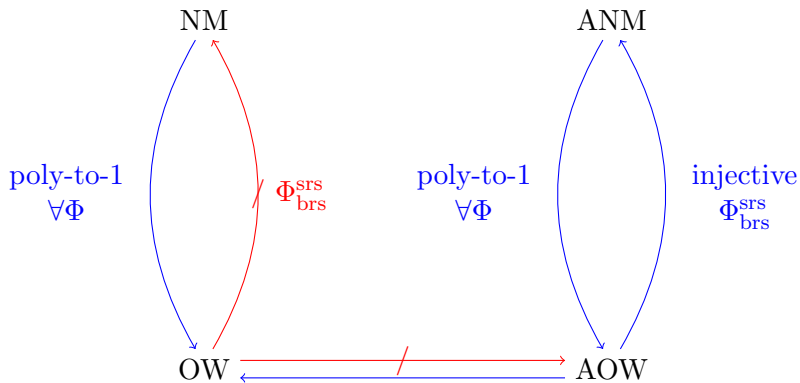
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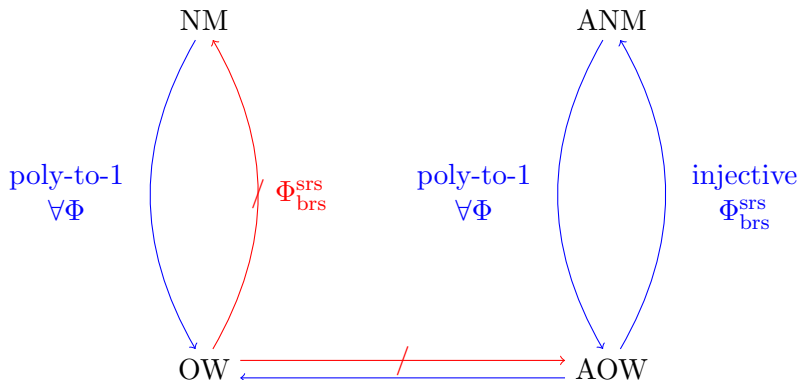
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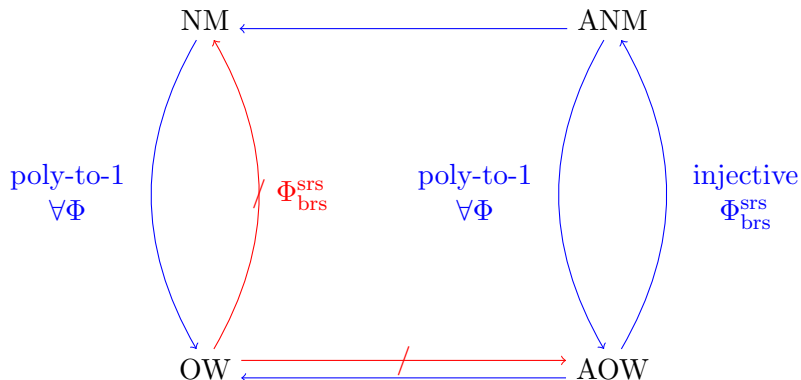


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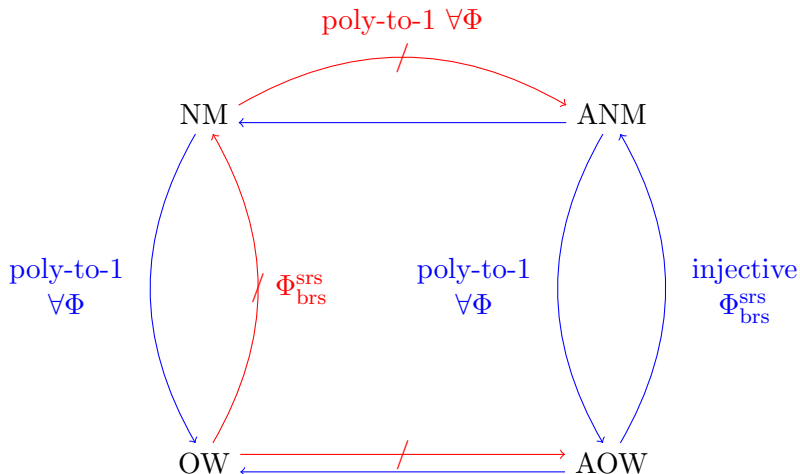
extend to the trapdoor functions  $\Rightarrow$  solve the open problem posed in [Kiltz, Mohassel, O'Neill, Eurocrypt 2010].

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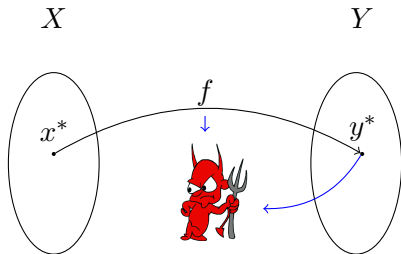
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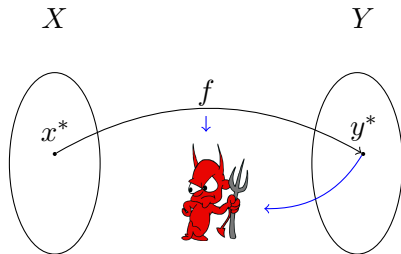
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## Hinted Notions



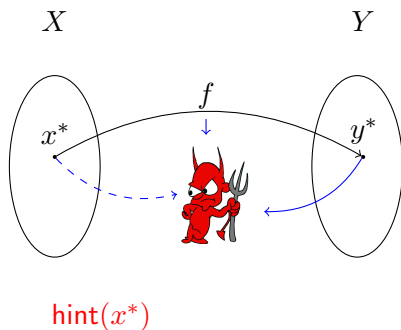


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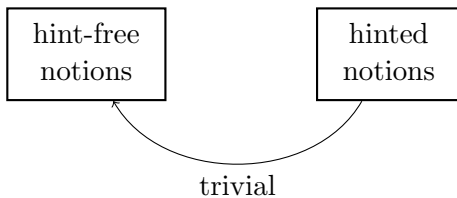
- OWF or NMF might be used in various different high-level protocols simultaneously.

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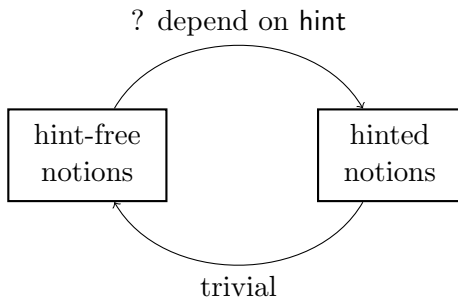


- OWF or NMF might be used in various different high-level protocols simultaneously.
- $\mathcal{A}$  may collect some auxiliary info about  $x^*$  — hint of  $x^*$  — probabilistic hint :  $X \rightarrow \{0, 1\}^{m(\lambda)}$  — hinted notions
- hinted notion is generally more strong and useful.

## Relations between hinted notions and hint-free notions



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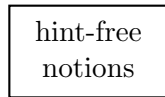
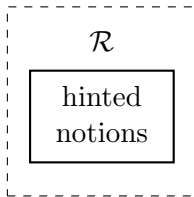


## When hint-free notions imply hinted notions?

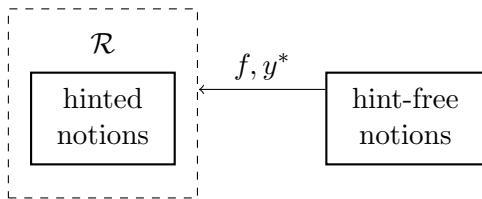
hinted  
notions

hint-free  
notions

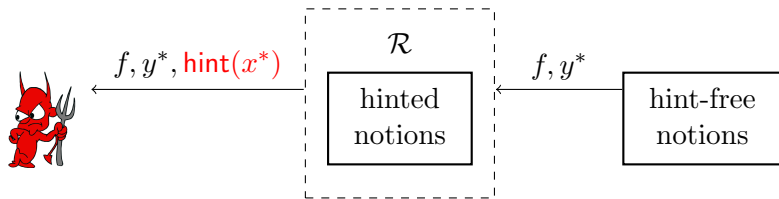
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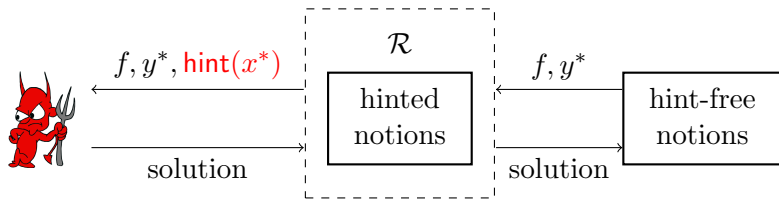


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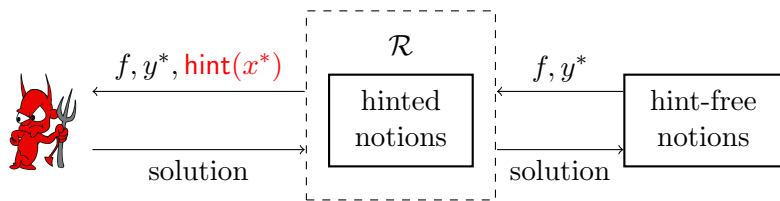




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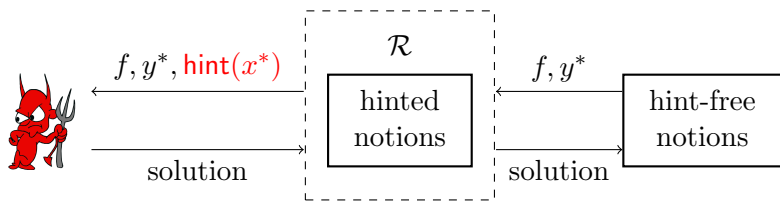
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- statistically simulatable: with some noticeable  $p(\lambda)$

$$(f, y^*, \mathcal{R}(f, y^*)) \approx_s (f, y^*, \text{hint}(x^*))$$

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- computationally simulatable: with some noticeable  $p(\lambda)$

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based on the hint-free notions.

## Examples of Simulatable Hint Functions

$$\text{hint} : X \rightarrow \{0, 1\}^{m(\lambda)}$$

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- beyond the bound  $O(\log(\lambda))$   
Let  $f$  be a OWF  $X \rightarrow Y$ ,  $h$  be its hardcore function from  $X \rightarrow \{0, 1\}^{m(\lambda)}$ .

$$\text{hint}(x; b) = \begin{cases} h(x) & \text{if } b = 0 \\ r \stackrel{\mathcal{R}}{\leftarrow} \{0, 1\}^{m(\lambda)} & \text{if } b = 1 \end{cases}$$

now  $m(\lambda)$  is possibly beyond  $O(\log(\lambda))$ .

OW  $\Rightarrow h(x^*) \approx_c U_{m(\lambda)} \Rightarrow \text{hint}(x^*; b) \approx_c U_{m(\lambda)} \Rightarrow$   
1-computationally simulatable ☺ application

# Outline

- 1 Backgrounds
- 2 NMFs: Syntax and Definition
- 3 Relations among OW and NM
- 4 Constructions of NMFs**
- 5 Applications of NMFs

## Constructions of NMFs

Random oracle model



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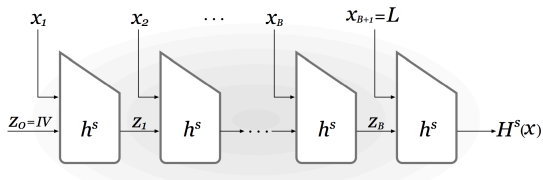


Figure: The Merkle-Damgård Transformation

By modeling the compression function  $h$  as a random oracle, we show that the MD is  $\Phi_{\text{brs}}^{\text{srs}}$ -NM.

## Constructions of NMFs

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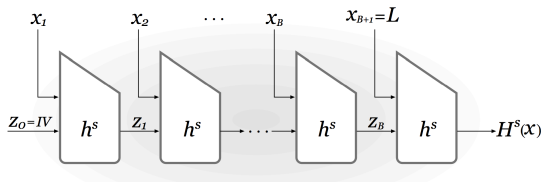


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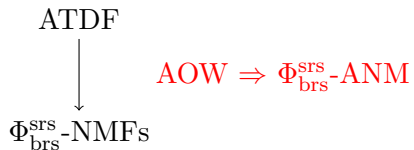
- improve previous result [BFS, CT-RSA 2011]:  $\Phi^{\text{xor}}$ -NM.
- provide us a practical candidate of NMFs.

## Constructions of NMFs

Standard model

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Standard model



# Constructions of NMFs

Standard model

II-RSA



ATDF

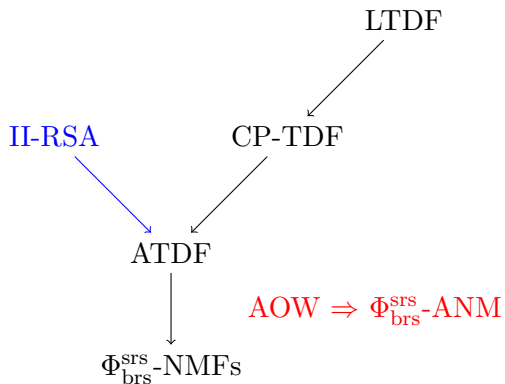


$\Phi_{brs}^{srs}$ -NMFs

AOW  $\Rightarrow$   $\Phi_{brs}^{srs}$ -ANM

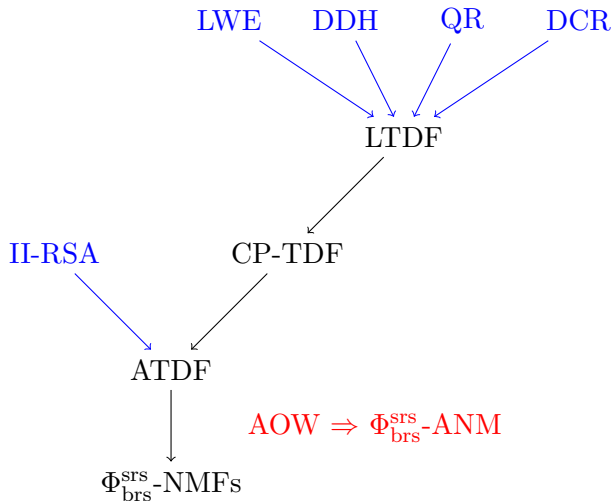
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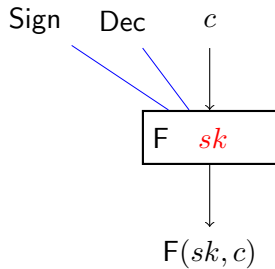


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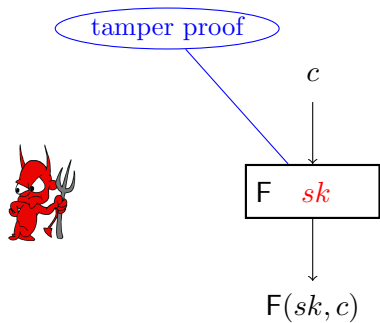
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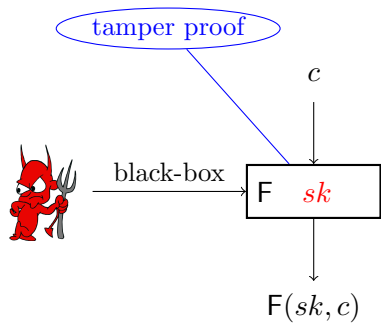
## Related-key Attacks



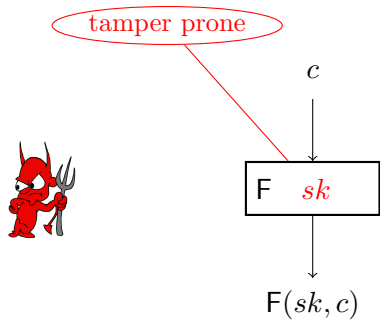
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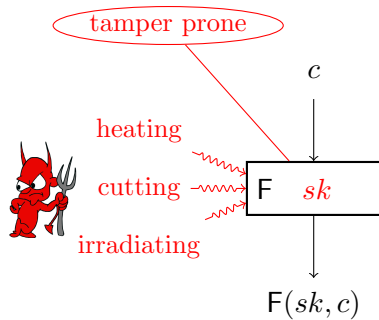
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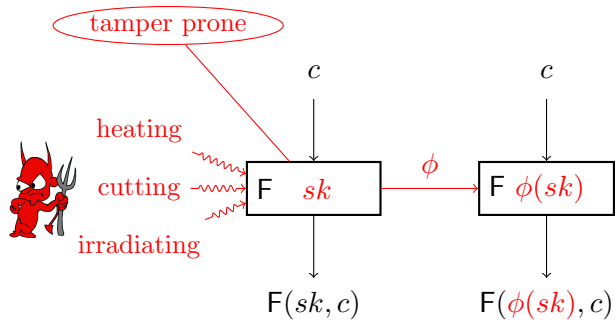
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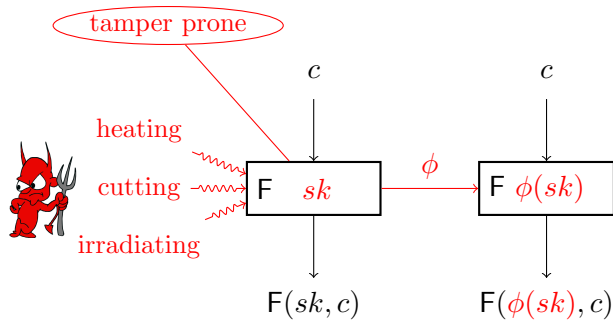
## Related-key Attacks



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Security against related-key attacks is called RKA-security.

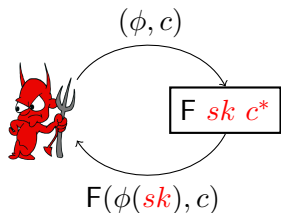
## RKA-security model for PKE and Copy-attacks

RKA-security w.r.t. RKD class  $\Phi = \{\phi : SK \rightarrow SK\}$



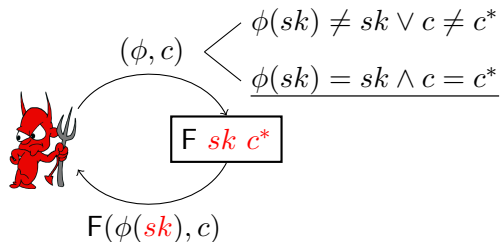
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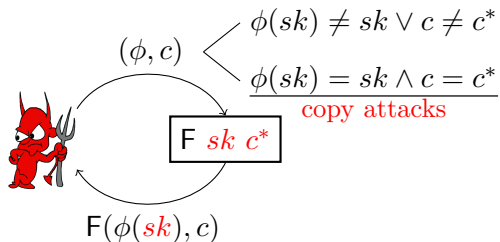
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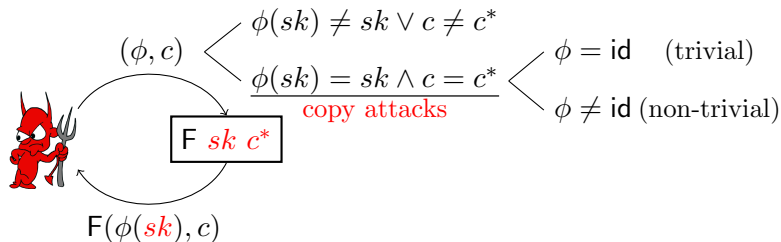
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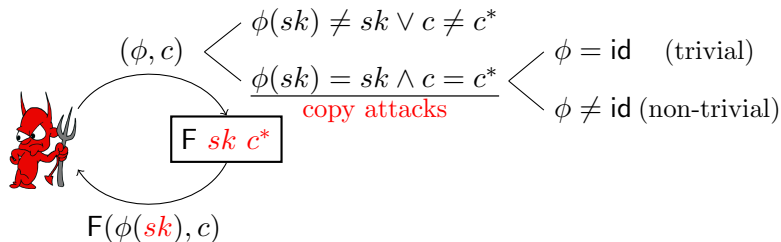
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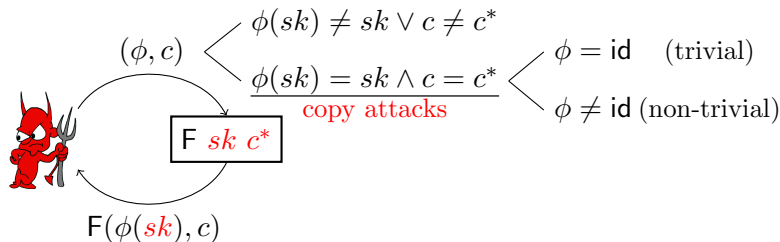
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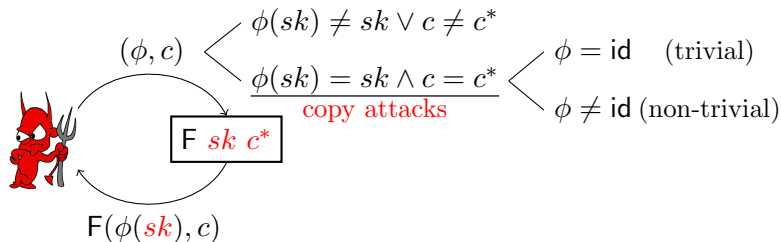
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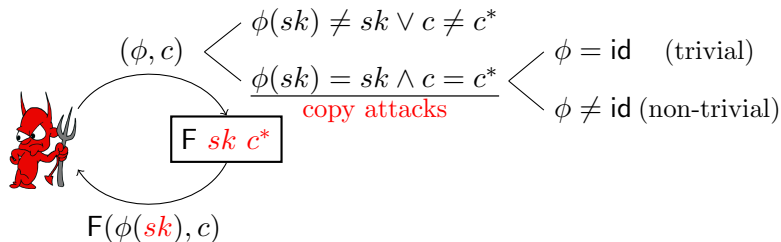
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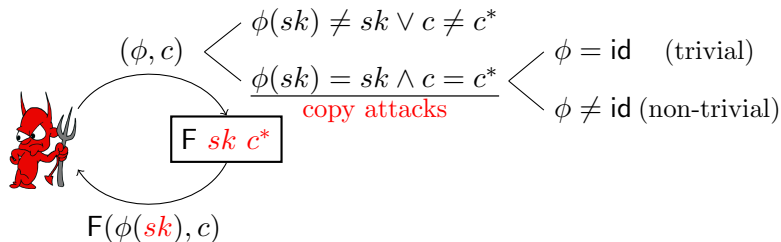


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  - specific property ([Abdalla et al., Crypto 2014])  $\Rightarrow$  tie to scheme algebra  $\Rightarrow$   $\Phi$  unlikely to be general

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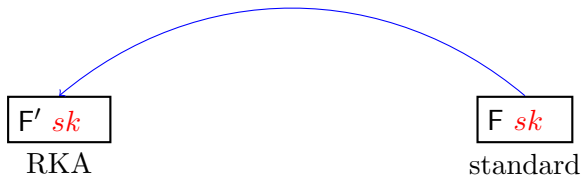
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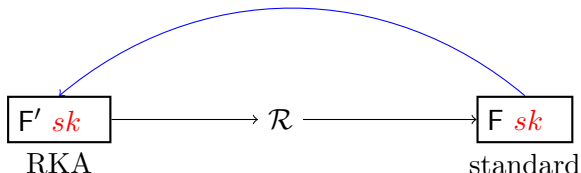


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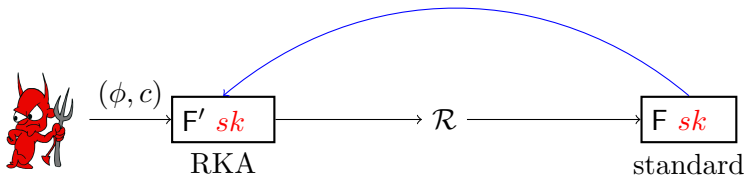


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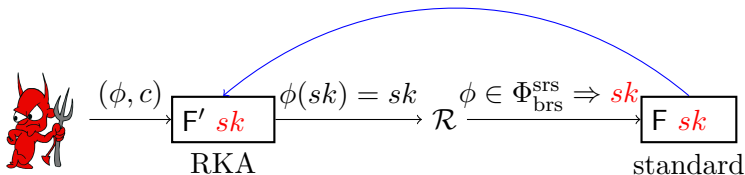


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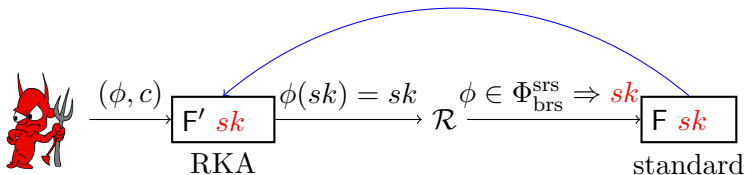


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- w.r.t.  $\Phi_{\text{brs}}^{\text{SRS}}$  resilience against non-trivial copy-attacks is a built-in property guaranteed by standard security.

## Authenticated Key Derivation Functions

[Qin et al. PKC 2015] extended NM KDFs [Faust et al. Eurocrypt 2014] to continuously NM KDFs, which we call **RKA-secure Authenticated KDFs**.

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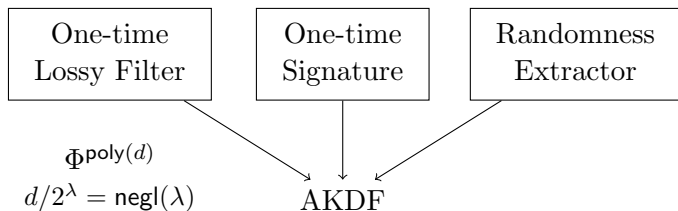
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**RKA-security:** For all PPT  $\mathcal{A}$ , we have:

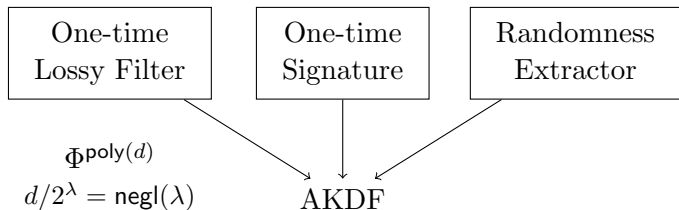
$$\Pr \left[ \begin{array}{l} pp \leftarrow \text{Setup}(\lambda), (s^*, t^*) \leftarrow \text{Sample}(pp); \\ k_0^* \leftarrow \text{Derive}(s^*, t^*), k_1^* \xleftarrow{\text{R}} \{0, 1\}^m; \\ b \xleftarrow{\text{R}} \{0, 1\}, b' \leftarrow \mathcal{A}^{\mathcal{O}_{\text{dev}}^{\Phi}(\cdot, \cdot)}(pp, t^*, k_b^*); \end{array} \right] - \frac{1}{2} = \text{negl}(\lambda).$$

$\mathcal{O}_{\text{dev}}^{\Phi}(\phi, t)$  returns  $\text{same}^*$  if  $\phi(s^*) = s^*$  and  $t = t^*$ , and returns  $\text{Derive}(\phi(s^*), t)$  otherwise.

[Qin et al. PKC 2015], Revisited

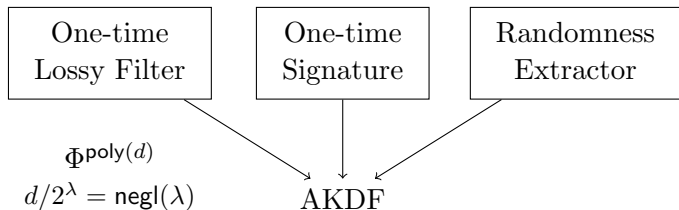






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- large public parameters size:  $pp = pp_1 + pp_2 + pp_3$
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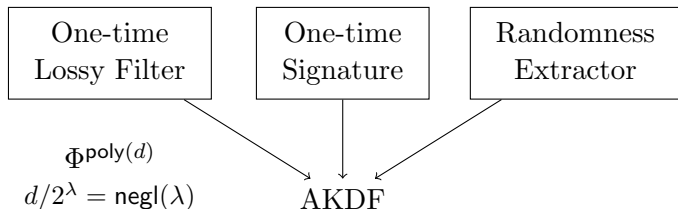


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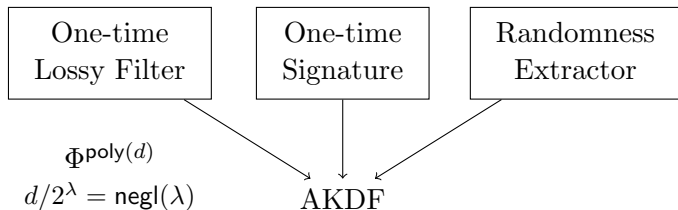
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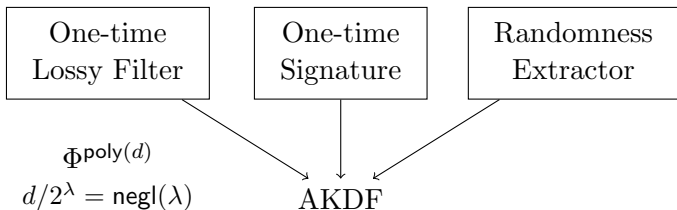
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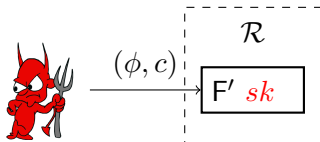
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### Strong RKA-security

- capture non-trivial copy attacks
- $\Phi$  is large and general

## Attempt

Technical hurdle: simulate RKA oracle

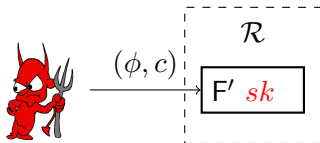


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$$\underline{F'(\phi(sk), c) = F(sk, \Gamma(\phi, c))}$$

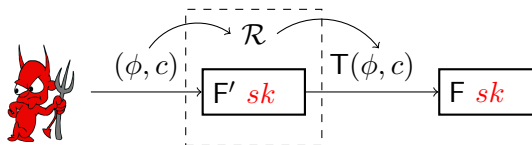


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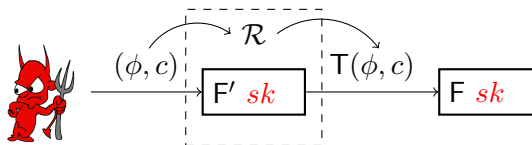


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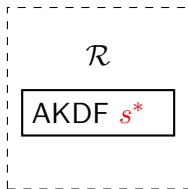
- We do not have the underlying primitive.
- $\Phi$ -key-malleability requires nice algebra property, e.g. homomorphism  $\Rightarrow \Phi$  cannot be general

## Our Construction

Core idea: acquire RKA-security from Non-Malleability

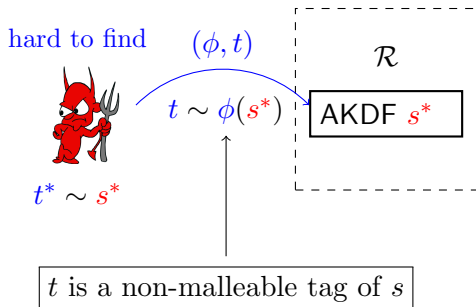


$$t^* \sim s^*$$



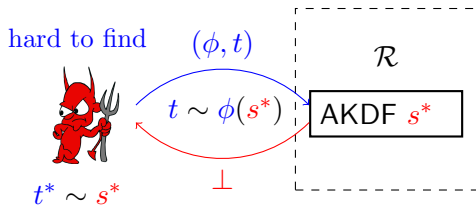
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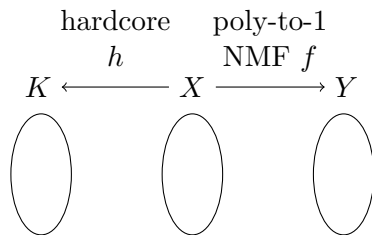
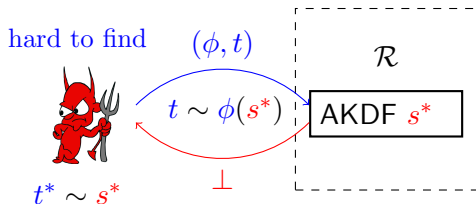
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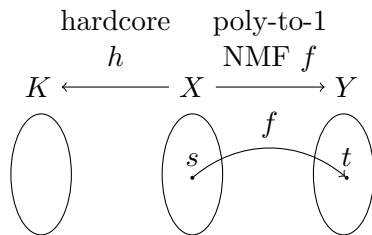
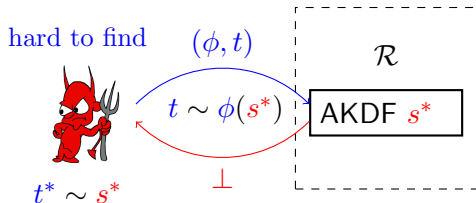
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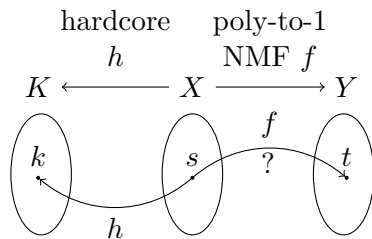
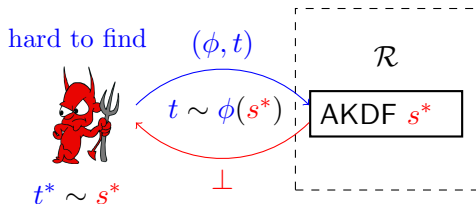
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**Game 1** (handle type-4 queries without  $s^*$ ) directly return  $\perp$ .

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Define  $E$  —  $\mathcal{A}$  issues  $\langle \phi, t \rangle$  such that  $f(\phi(s^*)) = t$  in Game 1.  
Game 0 and Game 1 are identical if  $E$  never happens.

$f$  is NM  $\Rightarrow \Pr[E] = \text{negl}(\lambda) \Rightarrow \text{Game } 0 \approx_c \text{Game } 1$

Note: NM is insufficient — except  $(pp, t^*)$ ,  $\mathcal{A}$  gets to see

$$k_b^* = \text{hint}(s^*; b) = \begin{cases} k^* = h(s^*) & \text{if } b = 0 \\ k^* \xleftarrow{R} K & \text{if } b = 1 \end{cases}$$

hinted NM is required! luckily hint-free NM  $\Rightarrow$  hinted NM

Claim 2:  $f$  is NM and poly-to-1  $\Rightarrow \text{Adv}_{\mathcal{A}}^{\text{Game } 1}(\lambda) = \text{negl}(\lambda)$ .

$f$  is NM and poly-to-1  $\Rightarrow f$  is OW  $\Rightarrow k_0^* \approx_c k_1^*$

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## Optimizations

- NM functions  $\Rightarrow$  NM relations — typically more efficient
- $h = \text{GL} \Rightarrow |k| = 1$ , obtain multiple hardcore bits by:
  - 1  $f$  is OWP — iteration
  - 2 rely on decisional assumptions
  - 3 poly-many hardcore bits from differing-input obfuscation
  - 4 apply PRG at the end

## Conclusion

- a formal study of NMFs
  - with simplified syntax
  - a strong game-based security definition
- connections between (A)NM and (A)OW
  - w.r.t. our algebraic abstraction of  $\Phi$
- relations between hint-free v.s. hinted notions
- efficient constructions of NMFs
  - (in)directly via the implication  $\text{AOW} \Rightarrow \text{ANM}$
- address non-trivial copy attacks in RKA area
  - leverage the algebraic technique used in  $\text{AOW} \Rightarrow \text{ANM}$
- elegant construction of RKA-secure authenticated KDFs
  - via a simple twist of NMFs

## Future Works

- direct construction of NMFs
- new construction of NMFs w.r.t.  $\Phi \supset \Phi_{\text{brs}}^{\text{srs}}$
- new interesting applications
- connections to other primitives, e.g., non-malleable codes, non-malleable extractors



Any Questions?

Thanks for listening!